GARTH FRIESEN

HEDGE FUND & TRADING EXPERT

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ABOUT GARTH

From a small town in Canada, to a CEO at a hedge fund and a member of NY Federal Reserve Investor Advisory Committee, Garth Friesen has unique insight into the financial markets and being a successful trader. He is CEO at III Capital, a hedge fund management company specializing in fixed-income and credit.

ACHIEVEMENTS

- NY Federal Reserve, Investor Advisory Committee on Financial Markets, 2009-2015
- Author, Bite the Ass Off a Bear
- Forbes Contributor since 2015
- Yahoo Finance Contributor since 2014
- Numerous appearances in TV and print media for market insights, including the Wall Street Journal, Bloomberg, The New York Times, CNBC, Barrons and more.

EXPERIENCE

III Capital Management, Boca Raton, FL **1998 - Present**

III Capital is a hedge fund management firm with approximately 100 employees and $4.2 billion in assets with a focus on fixed income relative value, long/short credit, long only credit and tail hedging strategies.

CEO

- Responsible for setting strategic direction and culture of the firm, allocation of resources, managing senior personnel and liaising with investors.
- Directly oversee Risk Management and Technology divisions.
- Evaluate new business opportunities and strategic alliances to grow AUM and profits.

Co-CIO

- Designed, developed and implemented and allocated trading strategies for the firm's co-mingled and managed accounts.
- Specific investment experience in fixed-income includes: US and European government bonds, futures, swaps, basis swaps, bond/swap options, Agency debentures, MBS, investment grade and high yield cash/CDS, structured credit (CDO, CLO, RMBS, CMBS), and CDS indices, tranches and options.
- Established and oversaw the credit business for III Capital Management in 2007 which now has over $2 billion in AUM.
- Launched and successfully managed three multi-asset tail hedging funds prior to the 2008 financial crisis and 2011 EMU crisis.

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EXPERIENCE, CONT.

Union Bank of Switzerland, London, UK **1996 - 1998**

Worked as part of the four member proprietary trading team on the fixed-income trading floor in London, UK.

Director, Proprietary Trading

- Invested the firm's capital in the global fixed-income and derivatives markets, using a variety of instruments, including cash bonds, FX, futures, swaps and options.
- Assigned to Hong Kong during the 1997 Asian currency crisis to manage interest rate exposure in the HKD swap book.

Merrill Lynch & Co., London, UK **1994 - 1996**

Worked in the fixed-income derivatives group in London, UK as part of the Global Debt Finance program, servicing as a market-maker for the firm's European clients.

Assistant Vice President, European Interest Rate Swaps Trading

- Responsible for institutional market making for institutional clients looking to trade Italian Lira interest rate derivatives.
- Operating a proprietary trading book for the firm to supplement trading profits.

EDUCATION

Ivey Business School, Western University **1994**

Masters of Business Administration

- Ivey Scholar, graduating on Dean's Honor Roll in top 5% of class

University of Western Ontario **1990**

Bachelor of Arts

- Concentration in Economics and Finance